

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Casimer M. DeCusatis, et al.

Docket: FIS920010143US1(14581)

Serial No.:

Unassigned

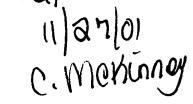
Dated: August 10, 2001

Filed: Herewith

For: WAVELENGTH MODULATION FOR

OPTICAL BASED SWITCHING AND ROUTING

Assistant Commissioner for Patents Washington, DC 20231



INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, applicants submit the following references which applicants believe may be material to the above-identified patent application. A copy of the references which applicants wish to make of record in this case is enclosed herein for the Examiner's convenience along with a listing on Form PTO-1449 attached.

- 1. Carroll, J., et al., "Distributed feedback semiconductor lasers," <u>The Institution of Electrical Engineers, London, United Kingdom</u>, pp. 9-15, (1998);
- 2. Kartalopoulos, S.,"INTRODUCTION TO DWDM TECHNOLOGY Data in a Rainbow," <u>SPIE Optical Engineering Press, Bellingham, Washington, IEEE Press, pp. 67-68, (1999);</u>

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I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Dated: 9/26/0/

Karen Cinq-Mars G:\Ibm\1111\14581\AMEND\14581.id1.doc

- Bann, R., et al.,"Micromaching system accommodates large wafers," Laser Focus World, The 2001 Annual Survey of the Laser Marketplace, pp. 189-192, (January 2001); and
- "Other Optical Components, Distributed feedback semiconductor lasers," 4. Chapter 9, IEE Circuits, Devices and Systems Seriers 10, Vol. PM52, pp. 131-137.

All the references listed on Form PTO-1449 are in the English language, thus, a concise explanation of those references required by 37 C.F.R. \$1.98(a)(3) is not necessary.

This Information Disclosure Statement is being submitted with the filing of this application; thus no fee or certification under 37 C.F.R. §1.97(e) is required.

Respectfully submitted,

John S. Sensny Registration No. 28,757

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JSS:gc